

August 17, 2004

Controller's Statement

Re: Project logistics for survey conducted by Dr. Daniel Klein, associate professor of economics, Santa Clara University

To Whom It May Concern:

As Assistant Dean of Administration/External Relations for Santa Clara University's Leavey School of Business, I work in the office of Barry Z. Posner, Dean. My staff and I assisted Professor Daniel Klein by controlling the completeness and authenticity of the reported survey results.

This statement addresses the documents describing the survey method, survey responses, and custody of responses to ensure survey integrity. The auxiliary documents are:

- 1) "Survey Process Description" by Daniel Klein and Donna Perry
- 2) "Survey Control Overview Table and Analysis," prepared by Daniel Klein, and reviewed, confirmed and initialed line-by-line by Donna Perry
- 3) "Daniel Klein's Survey Procedure Statement," by Daniel Klein

The purpose of the documents is to provide a clear understanding of the survey procedure and results.

The "Survey Process Description" (Item 1) is a complete and accurate representation of the production, distribution, and collection of the survey, as I acknowledge as a supervisor/participant in the procedure.

I personally confirmed and initialed each fact in the "Survey Control Overview Table and Analysis" (Item 2).

As for "Daniel Klein's Survey Procedure Statement" (Item 3), I have read it carefully and find it accurate and complete.

Regarding the survey's procedural integrity, the most significant lapse in control was the shortfall of 69 envelopes total as described in Section VII of the "Survey Process Description," as documented in line 14 of the "Survey Control Overview Table," and analyzed as the first item in "Daniel Klein's Procedure Statement." All other lapses were very minor.

My personal assessment of Professor Klein's integrity regarding this project is one of utmost confidence as evidenced by his thoroughness and care in the extreme to protect the process. His execution and reporting of the survey shows a scrupulous meticulousness to the end to assure the general reader that, in spite of his ideological viewpoint, the integrity of the survey is

pristine. I personally have no doubt that the shortfall totaling 69 envelopes/surveys was an human error made by the individual hand-numbering the 6,000 surveys, reflecting inadvertent omission of numbers while numbering. This interpretation was confirmed when I questioned the student who numbered the surveys and the copy shop staff who noticed the gaps and independently filled them in.

The files are locked in secure storage on the Santa Clara University campus, inaccessible to faculty. Once Professor Klein makes the survey dataset publicly available, anyone will be able to ask me to spot-check the accuracy of the dataset against my set of complete files. A modest fee of \$15/hour, made payable to Santa Clara University, will be charged to research and retrieve the files. Any copy charges required will be billed at the rate charged the University by its vendor. To request research, please contact the Dean's Office at business_dean@scu.edu . I (or my successor) will continue to secure the files and offer this data checking service until at least August 1, 2013.

Please contact me if you require any assistance relating to this project.

Sincerely,

Donna R. Perry, J.D.
Assistant Dean, Administration/External Relations
Leavey School of Business
SANTA CLARA UNIVERSITY
408-554-4523
408-554-4553 (fax)
business_dean@scu.edu