

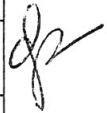

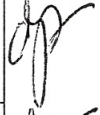
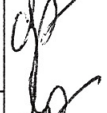

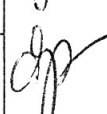

Survey Control Overview Table and Analysis

Finalized by Daniel Klein, June 14, 2004

Initialized by Donna Perry

		Anth	Econ	Hist	Phil	PolSci	Soc	Total
The "Denominator"								
Line		Anth	Econ	Hist	Phil	PolSci	Soc	Total
1	Quantity of surveys mailed out	1,000	1,000	1,000	486	1,000	1,000	5,486
Deletions from the Denominator								
2	Postal returns	0	6	34	4	0	0	44
3	Demise			3	1			4
4	on leave, moved away		1		1	3		5
5	"I never got it"		1				3	4
6	"I got two and did the other"			1	2		1	4
7	Total deletes		8	38	8	3	4	61
8	The "Denominator"	1000	992	962	478	997	996	5,425
The "Numerator"								
		Anth	Econ	Hist	Phil	PolSci	Soc	Total
9	Processed surveys	357	265	301	108	310	352	1,693
10	Blank surveys processed	8	1	4	0	1	1	15
11	Blank surveys <i>not</i> processed	4	3	3	0	4	3	17
12	Numerator1 Includes all blank surveys (L9+L11)	361	268	304	108	314	355	1,710
13 a	Numerator2 Excludes all blank surveys (L9-L10)	349	264	297	108	309	351	1,678
13 b	Response rate using Num2 (%)	34.9	26.6	30.9	22.6	31.0	35.2	30.9

Irregularities								
		Anth	Econ	Hist	Phil	PolSci	Soc	Total
14	Envelope shortfalls	7	4	15	8	15	20	69
Irregularities that generated novel ID numbers								
15	“Mis’s” Returned (competed)	3	1	4	N/A	1	10	19
16	Cases of a duplicate ID number			1		1		2
17	ID number was torn off or blackened out					2	1	3
18	ID number was never written in		1					1
19	All novel IDs returned	3	2	5	0	4	11	25
Other irregularities								
20	Retroactively processed surveys			1		1		2
21	Retroactively “deprocessed” survey				1			1

Analysis of Overview Table

This analysis provides the line-by-line supporting account of figures that appear in the Survey Control Overview Table. Every line here has been individually confirmed and initialized by Ms. Donna Perry.

Analysis of the “Denominator”

Line 1: Quantity of surveys mailed out (total: 5486)

- These numbers come from the mailing lists held and handled by Ms. Perry. See Survey Process Description, sections II and III.

Line 2: Postal returns (total: 44)¹

These are held in the Postal Returns binder

Anth: 0

Econ: 6 (2318, 2498, 2612, 2709, 2771, 2990)

Hist: 34 (3096, 3097, 3147, 3169, 3211, 3221, 3224, 3233, 3272, 3290, 3296, 3300, 3392, 3417, 3427, 3436, 3446, 3448, 3463, 3468, 3527, 3538, 3562, 3623, 3662, 3722, 3825, 3830, 3877, 3889, 3924, 3930, 3969, 3972)²

Phil: 4 (4017, 4112, 4169, 4292)

Pol Sci: 0

Soc: 0

Line 3: Deleted due to demise (total: 4)

- Hist: 3

Scribbles on postcards kept in the Irregulars binder:

~~John Doonika~~ [Hist]

~~Stanley Jordan~~ [Hist]

Email message:

XXXXMarch 22, 2003 from <~~Susan704@aol.com~~>

Recently you sent my father, Benjamin Bone [HIST] a survey and then a follow up postcard .

My father passed away on March 02, 2003 , please remove his name from your mailing list ,thank you. ~~Susan Rockwell~~

- Phil: 1

Email message:

XXXXMarch 24, 2003 from <~~Donna.Romins@mail.wvu.edu~~>

Please remove Professor Scelinger [PHIL] from your mailing list (survey mailing), as he has passed away.

~~Carl Scelinger, W. Virginia U. College of Law, Morgantown, WV 26506~~

Line 4: Deleted due to on leave, moved away (total: 5)

- Econ: 1

Email message:

>>> ~~Donna Bennett~~ <~~dbennett@facstaff.wisc.edu~~> 4/1/03 8:44:05 AM >>>

Donna,

¹ Information about postal returned postcards: 22 postal returned postcards were matched to postal returned envelopes-w/survey. 16 postal returned postcards (not matching postal returned envelopes-w/survey) were addressed with postage paid but were returned by USPS as undelivered because of insufficient address/no one at the address/etc. 4 postal returned postcards were addressed but returned by USPS as undelivered because they lacked postage; 7 postal returned postcards were returned by USPS because there was no address at all on the postcard. Note: There were also 8 postcards that were received but sent back with annotations (two of which indicating that the addressee was deceased); those are in the Irregulars binder.

² Thirty-four (34) of the postal returns were Hist surveys; after the fact, we noticed that the first 400 addresses on the list of 1000 addresses supplied by the American Historical Association had zip codes missing the last (fifth) digit.

You've asked [REDACTED] [ECON] to complete a policy views survey. [REDACTED] is on sabbatical this year, not to return until June 2003.

Thank you, [REDACTED] Bennett, Assistant to [REDACTED], Dean, Dept. of [REDACTED]

- Phil: 1

"[REDACTED] is currently on leave and out of the country . . . Thank you, [REDACTED], Assistant"

- Pol Sci: 3

Someone scribbled on returned survey ID 5662: "On leave – Unable to do" [in the Irregulars binder]

2 email messages:

XXXXXMarch 21, 2003 from <[REDACTED]@[REDACTED].edu>

Ms. Perry,

Recently you sent a survey to Dr. [REDACTED] [POL SCI], of the Political Science Department at [REDACTED] University. I am writing to tell you that [REDACTED]

[REDACTED] retired 4 years ago and has moved to [REDACTED], [REDACTED] [REDACTED] [REDACTED], Graduate Secretary, Political Science, [REDACTED] University, [REDACTED], OH [REDACTED], phone# 513-523-2000, fax# 513-523-1100

XXXXXMarch 24, 2003 from <[REDACTED]@[REDACTED].edu>

[REDACTED] [REDACTED] [POL SCI] never did receive a survey. His new address is [REDACTED] Street, Suite 334, Annapolis 21404. He would love to complete it for you.

Thanks [REDACTED]

Line 5: Deleted due to "I never got it" (total: 4)

- Econ: 1

Email message:

XXXXXMarch 25, 2003 from <[REDACTED]@[REDACTED].edu>

I got a card from you saying that I never returned a survey. I never received a survey. Please resend it if you still would like me to fill it out.

[REDACTED] [REDACTED] [ECON], Analysis Group (Executive) 1111 Huntington Avenue, Terminal Floor, Suite [REDACTED], MA 02109, 617-425-8147 (Direct), 617-425-8991 (Fax), [REDACTED]@[REDACTED].edu

- Soc: 3

3 email messages:

>>> [REDACTED] <[REDACTED]> 3/28/03 8:26:21 AM >>>

Donna:

I don't think I ever received your survey. Can you send another via e-mail? If not, please send it via regular mail asap so I can complete it.

[REDACTED], Chair, Human Services, [REDACTED], [REDACTED], [REDACTED], [REDACTED] 60301, [REDACTED] 410-3266 [REDACTED]

>>> [REDACTED] [SOC] <[REDACTED]> 4/1/03 4:03:44 AM >>>

Hello Donna Perry -- I received your card about a survey I was supposed to have previously received. I don't recall receiving your survey; I would be happy to fill it, I think, if you would send me a copy. Best wishes --

[REDACTED]

Dear Dr. Perry,

I have your post card referring to a survey that was sent to me. To the best of my knowledge I have not received a survey from you, however if it looked like a "marketing tool" I might have tossed it. Could you arrange to send it again, preferably by email if possible. If I missed it, I apologize.

[redacted], [redacted], [redacted], [redacted].

Line 6: Deleted due to "I got two and did the other" (total: 6)³

- Hist: 1

The respondent ([redacted]) who turned in 5799 noted in the survey that he received 2 and only completed this (Pol Sci) one, and that he is member of both APSA and AHA.

- Phil: 2

Respondent returned coverletter with scribbling that he or she had already received the survey (from the APSA mailing) [initials something like [redacted]]; in the Irregulars binder.

Respondent returned blank survey (ID 4848) with scribbling that he or she had already received the survey (from the APSA mailing); in the Irregulars binder.

- Soc: 1

Respondent of survey 1272 wrote on the survey that he received 2; we assume the other was a Soc survey.

Line 7: Total deletes (total: 61)

Line 8: The "Denominator" (total: 5425)

³ Ms. Perry reported that she got a few (approx. 4) phone calls to the "never received" effect. Since we did not get the discipline in these case, we are simply not making any adjustments to reflect these few phone calls.

Analysis of the “Numerator”

Line 9: Processed surveys (total: 1693)

- Ms. Perry and Prof. Klein crossed checked every ID number on the final ID number list from the Excel file, checking them against the original check-sheet and investigating every discrepancy as fitting into one of the categories listed here (in the Overview Table). This total of 1693 includes the two retroactively processed surveys (3175 and 5999.2), and excludes the one retroactively “deprocessed” survey (4200).

Line 10: Blank (or very principally blank) surveys that were processed (total: 15)

Anth: 8	(1157, 1211, 1235, 1347, 1482, 1514, 1585, 1881)
Econ: 1	(2254)
Hist: 4	(3005, 3139, 3334, 3782)
Phil: 0	
Pol Sci: 1	(5724)
Soc: 1	(6286)

Line 11: Blank surveys not processed (in the Irregulars binder) (total: 17)

Anth: 4	(1039, 1207, 1525, 1729)
Econ: 3	(2312, 2592, 2920)
Hist: 3	(3372, 3609, 3678)
Phil: 0	
Pol Sci: 4	(5175, 5604, 5656, 5795)
Soc: 3	(6600, 6834, 6897)

Line 12: *Numerator1*: Includes all blank surveys (L9+L11) (total: 1710)

Line 13: *Numerator2*: Excludes all blank surveys (L9-L10) (total: 1678)

Analysis of the Irregularities

Line 14: Envelope shortfalls (total: 69)

See Survey Process Description, section VII.

Line 15: “Mis’s” returned (completed) (total: 19)

(For explanation, see Survey Process Description, section VII.)

Anth: 3	(1000.03, 1000.04, 1000.05)
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Econ: 1 (2000.4)
Hist: 4 (3000.01, 3000.09, 3000.13, 3000.14)
Phil: N/A
Pol Sci: 1 (5000.4)
Soc: 10 (6000.01, 6000.03, 6000.04, 6000.1, 6000.11, 6000.12, 6000.13, 6000.14, 6000.15, 6000.17)

Line 16: Cases of a duplicate ID number (total: 2)

Hist 1: (3894.1, 3894.2)
Pol Sci 1: (5173.1, 5173.2)

Line 17: ID number torn off or blackened out (total: 3)

Pol Sci 2: (5999.1, 5999.2)
Soc 1: (6999.1)

Line 18: ID number was never written in (total: 1)

Econ 1: (2999.1)

Line 19: All novel IDs returned (total: 25)

Line 20: Retroactively processed surveys (total: 2)

Hist 1: (3175) This survey was simply not checked-off or copied, and then passed forward to Professor Klein with the processed surveys. Klein has copied the survey and Ms. Perry has placed the copy into her secure files.

Pol Sci 1: (5999.2) This is one of the survey with the ID torn off (including the discipline). It was not originally processed, instead placed into the Irregulars binder. Based on the answer to Q39, it has been assigned to Pol Sci and retroactively processed.

Line 21: Retroactively “deprocessed” (total: 1)

Phil 1: (4200) This survey had been processed, but has since been “deprocessed”: “Professor Masha is currently on leave and out of the country . . . Thank you, Patricia Page, Assistant”